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PTO/SB/21 (04-07)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number 10/654,797

Filing Date September 4, 2003

First Named Inventor Marler et al.

Art Unit 3743

Examiner Name Nihir B. Patel

Attorney Docket Number 3418-A-23

**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Terminal Disclaimer                              | 1. Supplement to Petition Under 37 C.F.R. 1.137(a)                                      |
| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> Request for Refund                               | 2. Supplement to Petition Under 37 C.F.R. 1.137(b)                                      |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="checkbox"/> CD, Number of CD(s) _____                        | 3. Postcard   |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    | <input type="checkbox"/> Landscape Table on CD                            |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**Remarks**

The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 03-0088

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Cahill, von Hellens & Glazer P.L.C.		
Signature			
Printed name	William C. Cahill		
Date	June 8, 2007	Reg. No.	19,742

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	William C. Cahill	Date	June 8, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

App. No. : 10/654,797 Confirmation No. 8070  
Applicant : Marler et al. Date: June 8, 2007  
Filed: : September 4, 2003  
Title: : HEAT AND MOISTURE FILTER EXCHANGER AND METHOD  
TC/A.U. : 3743  
Examiner: : Patel, Nihir B

Docket No. : 3418-A-23  
Customer No. : 33136

**SUPPLEMENT TO PETITION UNDER 37 C.F.R. §1.137(a)  
APPLICATION FOR PATENT ABANDONED UNAVOIDABLY**

Commissioner For Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sirs:

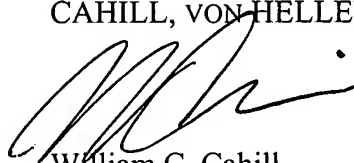
Applicant respectfully requests the U. S. Patent Office to correct the mailing address that is used to communicate with applicant. The correct address is recited in the originally filed application documents and has consistently been used by applicant throughout the proceedings; however, the Patent Office has directed communications to the wrong address. The U. S. Post Office has apparently directed the mail to applicant notwithstanding the incorrect zip code on the address labels. However, at least one critical document was not delivered to applicant because of the incorrect address used by the Patent Office. The correct address, as it has appeared on all documents filed in the Patent Office, is CAHILL, VON HELLENS & GLAZER P.L.C., 155 park

Appl. No. 10/654,797  
Supplement to Petition  
Page 2

One, 2141 East Highland Avenue, Phoenix, Arizona 85016.

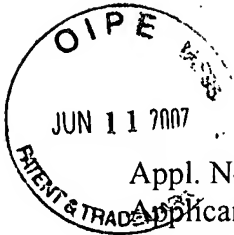
Respectfully submitted,

CAHILL, VONHELLENS & GLAZER P.L.C.

A handwritten signature in black ink, appearing to read 'W. Cahill', is written over the printed name.

William C. Cahill  
Registration No. 19,742

2141 East Highland Avenue  
155 Park One  
Phoenix, Arizona 85016  
Phone: (602) 956-7000  
Fax: (602) 956-4298



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Examiner: : Patel, Nihir B  
  
Docket No. : 3418-A-23  
Customer No. : 33136

**SUPPLEMENT TO PETITION UNDER 37 C.F.R. §1.137(b)  
APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY**

Commissioner For Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sirs:

Applicant respectfully requests the U. S. Patent Office to correct the mailing address that is used to communicate with applicant. The correct address is recited in the originally filed application documents and has consistently been used by applicant throughout the proceedings; however, the Patent Office has directed communications to the wrong address. The U. S. Post Office has apparently directed the mail to applicant notwithstanding the incorrect zip code on the address labels. However, at least one critical document was not delivered to applicant because of the incorrect address used by the Patent Office. The correct address, as it has appeared on all documents filed in the Patent Office, is CAHILL, VON HELLENS & GLAZER P.L.C., 155 park

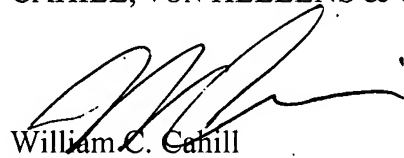
Appl. No. 10/654,797  
Supplement to Petition  
Page 2

One, 2141 East Highland Avenue, Phoenix, Arizona 85016.

Please add the following statement to the Petition - - The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional.

Respectfully submitted,

CAHILL, VON HELLENS & GLAZER P.L.C.



William C. Cahill  
Registration No. 19,742

2141 East Highland Avenue  
155 Park One  
Phoenix, Arizona 85016  
Phone: (602) 956-7000  
Fax: (602) 956-4298